

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	3472027
<b>Application Number:</b>	10810107
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8033
<b>Title of Invention:</b>	Method and computer program for tax sensitive investment portfolio management
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<b>Filer:</b>	Michael D. Bednarek/Geraldine Bird
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<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 560

RAM confirmation Number	2569				
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<p>The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)</p>					
<b>File Listing:</b>					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Extension of Time	UBS0035USpetextn.pdf	84326	no	1
			6670c8eacf3ad0a6191d768c768fc2a7766e26af		
<b>Warnings:</b>					
<b>Information:</b>					
2	Miscellaneous Incoming Letter	UBS0035USamdttns.pdf	75908	no	1
			997f2668ae884b2aba3e583727ab6e31ae7dbe3b		
<b>Warnings:</b>					
<b>Information:</b>					
3	Amendment - After Non-Final Rejection	UBS0035USamendment.pdf	747686	no	15
			1369d743d55e1f11583ff8b81d36a9ee68d0f86c1		
<b>Warnings:</b>					
<b>Information:</b>					
4	Fee Worksheet (PTO-06)	fee-info.pdf	8345	no	2
			3b6507699e309668bfe2efc7d5a152dd5f63bd3a		
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			916265		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.